

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>Only for new nonprovisional applications under 37 C.F.R. 1.53(b)</small>	Attorney Docket No.	2629.1003-009
	First Named Inventor or Application Identifier	Sudhir V. Shah
	Express Mail Label No.	EL 955641119 US

2390 U.S. PTO  
10/731522

Title of Invention	DIAGNOSIS AND TREATMENT OF HUMAN KIDNEY DISEASES
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<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification <b>Total Pages [ 42 ]</b> <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <b>Total Sheets [ 1 ]</b> <input checked="" type="checkbox"/> Fig. of the Drawings for Publication <input type="checkbox"/> No Figure to be Published 4. <input checked="" type="checkbox"/> Oath or Declaration <b>Total Pages [ 2 ]</b> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Paper Copy (identical to computer copy) [    ] Pages c. <input type="checkbox"/> Statements verifying identity of above copies <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"> <b>ACCOMPANYING APPLICATION PARTS</b>          7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents)              <input checked="" type="checkbox"/> Assignee -                  Shiva Biomedical, LLC                  Paramus, New Jersey 07652          8. <input type="checkbox"/> Power of Attorney      <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement          9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>          10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449      <input type="checkbox"/> Copies of IDS Citations          11. <input type="checkbox"/> Preliminary Amendment          12. <input checked="" type="checkbox"/> Return Receipt Postcard          13. <input type="checkbox"/> Small Entity Statement(s)          14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365          14b. <input type="checkbox"/> Certified Copy of Priority Document(s)          15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i>          16. <input checked="" type="checkbox"/> Other <u>Remarks/Change of Correspondence Address</u> </div>
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17. <b>If a CONTINUING APPLICATION</b> , check appropriate box; supply the requisite information. <div style="display: flex; justify-content: space-between;"> <span><input type="checkbox"/> Continuation    <input checked="" type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part (CIP)</span> <span>of prior application No.: 09/553,496</span> </div> <div style="display: flex; justify-content: space-between;"> <span>Prior application information: Examiner: Randall O. Winston</span> <span>Group Art Unit: 1654</span> </div> <p style="font-size: small;">             The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.  <i>(Add standard Related Applications section with incorporation by reference to specification or update same)</i> </p>	
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18. CORRESPONDENCE ADDRESS					
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Signature		Date	December 9, 2003
Submitted by Typed or Printed Name	Mary K. Murray	Reg. Number	47,813

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>FEE TRANSMITTAL FOR PATENT APPLICATIONS</b>	Attorney Docket Number		2629.1003-009	
	Application Number			
	First Named Inventor		Sudhir V. Shah	

CLAIM CALCULATION (includes any preliminary amendment)

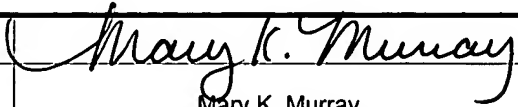
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small>	4 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (i))</small>	1 - 3** =	0	x \$ 86 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) <small>(37 CFR 1.16(d))</small>			+ \$ 290 =	\$
				BASIC FEE <small>(37 CFR 1.16(a) or (h))</small>	\$ 770
	Total of above Calculations =				\$ 770
	Reduction by 50% for filing by small entity <small>(37 CFR 1.9, 1.27, 1.28)</small> =				\$
	TOTAL =				\$ 770
	Surcharge - Late Filing of Declaration or Filing Fees <small>(37 C.F.R. 1.16(e))</small> =				\$
	Petition for Extension of Time Fee <small>(37 C.F.R. 1.17)</small> =				\$
	Assignment Recordation Fee = <small>(only when filed with application)</small>				\$
	[Other]				\$
	TOTAL =				\$ 770

\* Reissue claims in excess of 20 and over original patent  
\*\* Reissue independent claims over original patent

1. Small entity status:
  - a. ☐ A small entity statement is enclosed.
  - b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
  - c. ☐ Is no longer claimed.
2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.
3. ☒ A check is enclosed for \$770.00. ☐ Please charge \$[ ] to Deposit Account No. 08-0380.
4. ☐ Other: \_\_\_\_\_

Signature		Date	December 9, 2003
Submitted by Typed or Printed Name	Mary K. Murray	Reg. Number	47,813

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sudhir V. Shah

Divisional Application of

Application No.: 09/553,496

Filed: April 20, 2000

For: DIAGNOSIS AND TREATMENT OF HUMAN KIDNEY DISEASES

Date: <u>December 9, 2003</u>
EXPRESS MAIL LABEL NO. <u>EL955641119US</u>

REMARKS/CHANGE OF CORRESPONDENCE ADDRESS

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-captioned application is a divisional of application number 09/553,496 filed on April 20, 2000 to which priority is claimed under 35 U.S.C. §120. The specification of the present application is substantially the same as that of the parent application. The related applications paragraph has been revised to include a specific reference to the parent application.

Claims 5-53, as presented in the parent application, have been omitted and remaining Claims 1-4 are remaining as in this divisional application.

A copy of the declaration filed in the parent application, 09/553,496, is enclosed herewith. A newly executed declaration under 37 C.F.R. § 1.63 is not required under 37 C.F.R. § 1.63(d).

An Information Disclosure Statement and PTO Form 1449, citing references entered in the parent application, are also being filed concurrently with filing of the above-referenced divisional application. Copies of the cited references are not required under C.F.R. § 1.98(d).

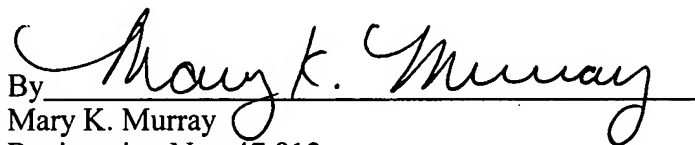
Pursuant to 37 C.F.R. 1.63(d)(4), please note that a new Power of Attorney was submitted in parent Application No. 09/553,496. Please send all correspondence in the above-identified continuation application to the following:

Customer No. 021005  
Hamilton, Brook, Smith & Reynolds, P.C.  
530 Virginia Road  
P.O. Box 9133  
Concord, Massachusetts 01742-9133

In addition, please direct all telephone calls to N. Scott Pierce, Esq. at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By   
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Dated:

December 9, 2003